



Information Structure, Word Order and Differential Marking in Kelabit

Charlotte Hemmings
University of Oxford

SLE 29th Aug-1st Sept 2018

Introduction



- **Kelabit** is a Western Austronesian (WAn) language spoken mainly in the Fourth and Fifth divisions of Sarawak, Malaysia (Martin 1996).
- It is part of the **Apad Uat** subgroup of Northern Sarawak which also includes Lun Bawang/Lundayeh, and Sa'ban (Kroeger 1998).
- Data is based on **fieldwork** in **Bario** from 2013-2017.



Introduction



- Like other WAn languages, Kelabit has a system of **symmetrical voice** alternations which allow **different mappings from arguments to functions** without changes in the resulting **transitivity**
- The voice alternations correlate with **word order** – there is a fixed **post-verbal** position for the non-subject core argument, whilst the subject is more **flexible**
- Finally, there is a reduced system of **case-marking** in the pronominal system – in undergoer voice (UV), **NOM and GEN forms** are used differentially to mark non-subject actors.

Introduction

- Hence, in expressing two participant events, speakers make a choice of **voice**, **word order** and **case**.
- The aim of this paper:
 - To consider how **information structure** interacts with these syntactic choices
 - To consider the implications for **WAn voice systems** and **information structure** more generally

Outline



1. Voice, Word-order and Case-marking in Kelabit
2. Information Structure and Word Order
3. Information Structure and Differential Marking
4. Conclusions



Voice, Word Order and Case-Marking

Kelabit Voice



- In order to discuss word-order and case-marking it is necessary to introduce the Kelabit system of **symmetrical voice** alternations.
- Symmetrical voice is typical of WAn and gives speakers different ways of expressing events with (at least) two semantic arguments:
 - **actor** and **undergoer**.
- The constructions differ in their **verbal morphology** which corresponds to a different mapping of arguments to functions:
 - **subject** and *non-subject core argument*.

Kelabit Voice



(1a) **Actor Voice**

Nengelaak	<i>nuba'</i>	tesineh nedih	← Subject
PFV.AV.cook	rice	mother 3SG.POSS	

'Her mother cooked rice'

(1b) **Undergoer Voice**

Linaak	tesineh nedih	<i>nuba'</i>	
PFV.UV.cook	mother 3SG.POSS	rice	← Subject

'Her mother cooked rice'

Root = *laak*

AV = *neN-*

UV = *-in-*

Question

what determines
voice selection?



Kelabit Word Order - AV

(2a) **Pre-verbal**
La'ih **sineh** **ne-kuman** *bua'* *kaber.*
 man DEM PFV-AV.eat fruit pineapple
 'The man ate pineapple.'

(2b) **Post-object**
Ne-kuman *bua'* *kaber* **la'ih** **sineh** ngimalem.
 PFV-AV.eat fruit pineapple man DEM yesterday
 'The man ate pineapple yesterday.'

(2c) **Clause-final**
Ne-merey *nuba'* ngen edteh anak **la'ih** **sineh**
 PFV-AV.give rice to one child man dem
 'That man gave rice to a child'

The **subject** is flexible:

- Pre-verbal
- Post-object
- Clause-final

The *non-subject core* argument is post-verbal



Kelabit Word Order - UV

(3a) **Pre-verbal**
Bua' **kaber** **kinan** *la'ih* *sineh*
 fruit pineapple UV.PFV.eat man DEM
 'The man ate pineapple.'

b. **Post-object**
kinan *la'ih* *sineh* **bua'** **kaber** ngimalem
 UV.PFV.eat man DEM fruit pineapple yesterday
 'The man ate pineapple yesterday.'

c. **Clause-final**
Kinan *John* ngimalem **neh** **bua'** **kaber** **nedih**
 UV.PFV.eat John yesterday PT fruit pineapple 3SG.POSS
 'John ate his pineapple yesterday'

The **subject** is flexible:

- Pre-verbal
- Post-object
- Clause-final

The *non-subject core* argument is post-verbal



Kelabit Case-Marking

- In Kelabit, **case-marking** is found for a subset of the pronominal paradigm:

	NOM	GEN
1SG	uih	kuh
2SG	iko	muh
3SG	ieh	neh
3PL	ideh	deh

The forms are labelled **NOM** and **GEN** as they appear cognate with case-marked pronouns in other WAn languages

NOM = subjects

GEN = UV actors

Kelabit



- NOM is used for both subjects and non-subjects in AV:

(4a) **AV Actor**
Uih
1SG.NOM
'I see him.'

ni'er
AV.see

ieh
3SG.NOM

(4b) **AV Undergoer**
ieh
3SG.NOM
'He sees me.'

ni'er
AV.see

uih
1SG.NOM

Kelabit



- **NOM** and **GEN** alternate as a means of expressing UV actors

(5a) **Undergoer Voice**

Seni'er

kuh

t=ieh

UV.see

1SG.GEN

PT=3SG.NOM

'I saw him'

Differential Actor Marking (DAM)

(5b) Seni'er

uih

t=ieh

UV.see

1SG.NOM

PT=3SG.NOM

'I saw him'

Summary



- Speakers have various **syntactic choices** at their disposal when expressing transitive events (in addition to prosody):
 - **Voice:** actor subject or undergoer subject
 - **Word order:** initial vs post-verbal vs final
 - **Case:** NOM VS GEN (for UV actor)
- Q: to what extent does **information structure** affects these choices?



The Leverhulme Trust

Word Order & Information Structure

Information Structure



- **Information structure** can be understood as a formal mechanism for facilitating effective information exchange or update (Dalrymple and Nikolaeva 2011, Erteschik-Shir 2007).
- Among the most important information structure roles are **topic** and **focus**:
 - Topic is an entity that the speaker **identifies** and **about** which a proposition is made (Krifka 2008): **topic-comment**
 - Focus is the **informative** part of the proposition and indicates the presence of **alternatives** (Krifka 2008): **focus-background**



Word Order & Information Structure

- It is well-known that information structure can affect **word-order**:
 - In many languages, there is a tendency to place **topic before comment** (Lambrecht 1994)
 - In other languages, **focus comes before background** – “the principle of newsworthiness (PoN)” (Mithun 1992)

Kelabit Word Order



- Kelabit follows the PoN to a certain extent, since there is a tendency to place **focus/contrasted** information in initial position in both **narrow focus** and **predicate focus** contexts.
- However, there is **no one-to-one link** between position & information structure role:
 - non-subject arguments can be focused in the immediately post-verbal position
 - initial subjects may also be topics
- This can be seen in **spontaneous examples** as well as **information structure tests** (Q&A, corrective focus negation).



Subject Initial with Narrow Focus



Question & Answer Test

(6) Focused Actor

Q. **lih** nemupu' *John*?
 who AV.PFV.hit John
 'Who hit John?'

A. [**Andy**]_{focus} nemupu' *John*
 Andy AV.PFV.hit John
 'Andy hit John'

Focused Undergoer

Q. **lih** pinupu' *Andy*?
 who UV.PFV.hit Andy
 'Who did Andy hit?'

A. [**John**]_{focus} pinupu' *Andy*
 John UV.PFV.hit Andy
 'Andy hit John'

It is ungrammatical for *wh*-words to appear clause-finally



Negation Test (corrective focus)

Context: did Andy hit John yesterday?

Na'am **Andy** nemupu' *John* ngimalem...

NEG Andy PFV.AV.hit John yesterday

'Andy didn't hit John yesterday...'

(8a) **Contrasted Actor**

[**Paul**]_{focus} **teh** **suk** nemupu' *ieh*
Paul PT REL PFV.AV.hit 3SG.NOM

'It was Paul who hit him (John)'

(8b) **Contrasted Undergoer**

[**Paul**]_{focus} **teh** **suk** pinupu' *neh*
Paul PT REL UV.PFV.hit 3SG.GEN

'It was Paul that he (Andy) hit'

An inversion
construction places the
focused argument in
initial position



Negation Test (corrective focus)

Context: did Andy hit John yesterday?

Na'am	Andy	nemupu'	<i>John</i>	ngimalem...
NEG	Andy	PFV.AV.hit	John	yesterday

'Andy didn't hit John yesterday...'

The same strategy is used
to correct a time adjunct

Focus < Background

(8c) **Contrasted Adjunct**

<u>[edto ma'un]</u> _{focus}	t=ieh	pinupu'	<i>neh</i>
day before	PT=3SG.NOM	UV.PFV.hit	3SG.GEN

'It was the day before that he hit him'

(8d) #pinupu' *neh* **t=ieh** [edto ma'un]_{focus}

UV.PFV.hit	3SG.GEN	PT=3SG.NOM	day before
------------	---------	------------	------------

For: 'he hit him the day before' (not yesterday)



The Leverhulme Trust

Predicate Initial with Predicate Focus

Question & Answer Test



(9) Focused predicate

Q. **Enun** tu'en *neh*?
what UV.IRR.do 3SG.GEN
'What is he doing?'

A. [Kuman *bua'* *kaber* *nedih*]_{focus} **t=ieh**
AV.eat fruit pineapple 3SG.POSS PT=3SG.NOM
'He's eating his pineapple'

Verb-initial order is a possible response to predicate focus questions



Negation Test (corrective focus)

Context: did Andy hit John yesterday?

Na'am **Andy** nemupu' *John* ngimalem...
 NEG Andy PFV.AV.hit John yesterday
 'Andy didn't hit John yesterday...'

Verb-initial order is used
 when the predicate has
 corrective focus

(11a) **Predicate Focus**

[nemepag *Paul*]_{focus} **t=ieh**
 AV.PFV.slap Paul PT=3SG
 'He slapped Paul'

(11b) *[pipag]_{focus} *neh* [*Paul*]_{focus}
 UV.PFV.slap 3SG.GEN Paul
 For: 'he slapped Paul'

(11c) **#ieh** [nemepag *Paul*]_{focus}
 3SG.NOM AV.PFV.slap Paul
 For: 'he slapped Paul'



Spontaneous Examples

(13a) [kuman *buɑ'* *ih* **tupu**]_{focus}
 AV.eat fruit PT only

t=ideh

PT=3PL.NOM

Focus particles

'They are just eating fruit'

(13b) pengeh ineh, am dadan, mirat edteh anak i'it bah
 after DEM NEG long INTR.appear one child small EXCL

'Not long afterwards, a small boy appeared'

[ngimet *edteh* *tupi*]_{focus} **t=ieh**
 AV.wear one hat PT=3SG.NOM

'He was wearing a hat'

Focus < Background once
 a discourse topic is
 established/continuing

Mid-summary



- There is a tendency for **initial position** to be associated with **focus information** and final position to be associated with **given information**
- However...
- **Initial-position** is not the only option for expressing focus!
- Initial arguments can also be **topics**
- We can see this using the same **diagnostic tests**



Post-verbal focus and initial topics

Question & Answer Test

(14) Focused Undergoer

Q. Nekuman *enun* **teh** **Peter** ngimalem?
AV.PFV.eat what PT Peter yesterday
'What did Peter eat yesterday?'

Non-subject
arguments can be
questioned *in-situ*

A. Nekuman [*bua'* *kaber*]_{focus} [**t=ieh**]_{topic} ngimalem
AV.PFV.eat fruit pineapple PT=1SG.NOM yesterday
'What did Peter eat yesterday?'

Post-verbal
Focus



Negation Test (corrective focus)

Context: did Andy hit John yesterday?

Na'am	Andy	nemupu'	<i>John</i>	ngimalem...
NEG	Andy	PFV.AV.hit	John	yesterday

'Andy didn't hit John yesterday...'

A post-verbal element can also be corrected/contrasted

(15a) **Corrected Undergoer**

nemupu'	[<i>Paul</i>] _{focus}	[t=ieh] _{topic}
AV.PFV.hit	Paul	PT=3SG.NOM

'He hit Paul'

Focus information can occur in initial position, but also post-verbal position

(15b) **Corrected Actor**

pinupu'	[<i>Paul</i>] _{focus}	[t=ieh] _{topic}
UV.PFV.hit	Paul	PT=3SG.NOM

'Paul hit him.'

Topic-Initial Order



(16) Actor Topic

Q. naru' *enun* **Peter**?
AV.do what Peter?
'what is Peter doing?'

A predicate focus question
can also be answered with
topic > comment order

A. neh [**Peter**]_{topic} [kuman *buu'* *kaber*]_{focus}
DEM Peter AV.eat fruit pineapple
'Peter is eating pineapple'

Initial subjects can
have narrow focus
but also be topics

Summary



- Hence, **focused subjects** always appear in initial position, but **non-subjects** can also be focused, and initial subjects can also be **topics**.
- Thus, there is **no one-to-one link** between position and information structure role
- An important question for future research is what the **difference** is between a focused subject in initial position and a focused object in post-verbal position?
- Let's now look at **differential marking**



The Leverhulme Trust

Differential Marking & Information Structure

Differential Marking & Information Structure



- Differential marking is also known to correlate with **information structure** (among other factors):
 - **Differential object marking (DOM)** often overtly marks topical objects
 - **Differential actor marking (DAM)** often overtly marks focused/contrasted actors

(Dalrymple and Nikolaeva 2011, Fauconnier and Verstraete 2014, Iemmolo 2010, McGregor 2010, Witzlack-Makarevich and Seržant 2018)

Differential Marking in Kelabit



- In Kelabit, the choice of NOM and GEN appears to follow a similar pattern to DAM:
 - GEN pronouns mark **continuing topics** (the default function of actors and pronouns)
 - NOM pronouns indicate **focus/contrast**
- This can be seen in **spontaneous examples** from the documentary corpus and is further supported by information structure **diagnostic tests**



GEN as continuing topic

(18) Nalap *neh* *pupu'*
 UV.PFV.fetch 3SG.GEN hitting.implement
 'She [Dayang Beladan] fetched something to hit with'

Nukab *neh* *bubpu'* *daan*
 UV.PFV.open 3SG.GEN door hut
 'Opened the door to the hut'

Nalap *neh* *dteh* *kayuh*
 UV.PFV.fetch 3SG.GEN one stick
 'Picked up a piece of wood'

The GEN actor is a
given topic and has
 high topic continuity



NOM as focus/contrastive

(19) En *kuh* ni'er *ieh* naru' ih
 UV 1SG.GEN AV.see 3SG.NOM AV.make DEM
 'I'd watch her [my great aunt] doing it'

Naru' **n=uih** *petaa* *ba'o* *rawir*
 AV.make PT=1SG.NOM bead.cap beed rawir
 'Then I'd make my own orange bead cap'

Kayu' inih, senuuk *uih* **neh.**
 Like DEM UV.PFV.string 1SG.NOM DEM
 'Like that one, I strung that.' [pointing]

The **NOM actor** is
 contrasted against
 other possible actors

The **undergoer** is the
 topic



Hanging Topic Test

- If you establish the actor as a **hanging topic**, then GEN is preferred:

(20a) **Paul kedieh,** kinan *neh* **bua'** **ebpuk**
 Paul 3SG.EMPH UV.PFV.eat 3SG.GEN fruit passion
 'As for Paul, he ate the passion fruit'

GEN = ✓

(20b) **#Paul kedieh,** kinan *ieh* **bua'** **ebpuk**
 Paul EMPH.3SG UV.PFV.eat 3SG.NOM fruit passion
 FOR: 'As for Paul, he ate passion fruit'

NOM = ✗



Question-Answer Test

- If you make the UV actor the **answer to a wh-word**, NOM is preferred:

Context: who saw her?

(21a) seni'er *uih* *t=ieh*
UV.PFV.see 1SG.NOM PT=3SG.NOM **NOM = ✓**
'I saw her'

(21b) *seni'er *kuh* *t=ieh*
UV.PRF.see 1SG.GEN PT=3SG.NOM **GEN = ✗**
'I saw her'

Contrast Test



- If the UV actor is **contrasted**, NOM is preferred:

(22a) Pinupu' *uih* **t=ieh** pu'un, am dih iko
UV.PFV.hit 1SG.NOM PT=3SG .NOM first NEG DEM 3SG.NOM
'I hit him first, not you'

NOM = ✓

(22b) *Pinupu' *kuh* **t=ieh** pu'un, am dih iko
UV.PFV.hit 1SG.GEN PT=3SG .NOM first NEG DEM 3SG.NOM
'I hit him first, not you'

GEN = ✗

Summary



- In the context of UV actors, GEN marks **topics** and NOM marks **focus**.
- However, there is also **no one-to-one link** between form and **information structure** since NOM can also mark subjects. These can be focus (in initial position) but also topics.
- Moreover, the use of NOM to mark focused actors in UV is seemingly linked to contexts where the **actor is focused** *and* the **undergoer is the primary topic**.
- Hence, differential marking may not only depend on information structure characteristics of the argument encoded, but also on **other relevant referents**.



The Leverhulme Trust

Conclusion

Conclusion



- In this paper, I have explored how **case-marking** and **word order** are affected by **information structure** in Kelabit.
- I have shown that **initial position** is often associated with **focus**, and **clause-final** position with **given** information (or continuing topics).
- Similarly, I have argued that the choice of **GEN vs NOM** for UV actors is determined by **information structure**, since GEN pronouns reflect **continuing topics**, and NOM pronouns **focus/contrast**.

Conclusion



- However, there is **no one-to-one link** between **function**, **position** or **form** and **information structure** role:
 - Both **subjects** and **non-subject actors** can be topics
 - Both **subjects** and **non-subjects** can be focused (in different positions)
 - **Initial** and **post-verbal** positions are associated with both topic and focus (only clause-final position is strictly associated with givenness)
 - **NOM case** can be associated with both topic and focus

Conclusion



- Consequently, **information status** is neither uniquely determined by voice, nor by word order nor by case-marking, but via a **combination of the three**.
- The particular encoding typically depends on **global information structure** properties, i.e. the status of both **actor** and **undergoer** (Latrouite and Riester 2018).
- Thus, expression of information status in Kelabit involves a complex interaction between **syntax**, **semantics** and **morphology**

Conclusion



- And the **voice system** is independent of the level of **information structure**...
- But allows different configurations of **word order and morphological encoding** that reflect different **pragmatic readings**.



The Leverhulme Trust

Many Thanks!