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Subjecthood and Case in Lun Bawang

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SWL 3rd-5th Sept 2018

Introduction



- This paper presents an unusual pattern of (differential) **case marking** in **Lun Bawang** pronouns on the basis of preliminary fieldwork in Ba' Kelalan (Buduk Nur) in 2017.
- The main aims of the paper are:
 - To illustrate how the **case system** in Ba' Kelalan differs from other Lun Bawang dialects and related languages.
 - Consider the possible **implications** of differential marking for the relationship between morphological encoding, grammatical function and information structure.

Outline



1. Background on voice and grammatical functions in Lun Bawang
2. Case-marking in Lundayeh, Kelabit and Lun Bawang
3. Differential marking cross-linguistically
4. Differential marking in Lun Bawang
5. Conclusions



Voice and Grammatical Functions in Lun Bawang

Lun Bawang



- **Lun Bawang** is a Western Austronesian language spoken in Northern Sarawak, Malaysia, in the Lawas, Limbang and Baram districts.
- It is part of the **Apad Uat** subgroup, which also includes Kelabit and Sa'ban.
- Related dialects are spoken in Sabah, Brunei and Kalimantan where the language is known as **Lundayeh**.



Lun Bawang



- There is relatively little documentation of Lun Bawang/Lundayeh (especially of the Ba' Kelalan dialect)
- Most existing descriptions are based on **Kemaloh Lundayeh** of Kalimantan or **Sipitang** dialect in Sabah. There are also some descriptions of **Long Semado** Lun Bawang.
- These appear to be more **conservative** than the Ba' Kelalan dialect (in terms of phonology, and also in the pronominal system)

Lun Bawang Voice



- Like other Western Austronesian (WAn) languages, Lun Bawang has a system of **symmetrical voice** alternations
- These are alternations in the **mapping of arguments to functions** without changes in morphosyntactic **transitivity** (Himmelman 2005, Riesberg 2014)
 - arguments: **actor** vs **undergoer**
 - functions: **subject** vs *non-subject core*
- The function of an argument is typically indicated via **word order** (rather than case marking) but the position of the subject is **flexible**



Lun Bawang Voice

(1a) Actor Voice

ne'	nukat	<i>kelatih</i>	uih	nalem
PFV.go	AV.dig	worms	1SG.NOM	yesterday

'I went to dig up worms yesterday'

Root = *tukat*

AV = *N-*

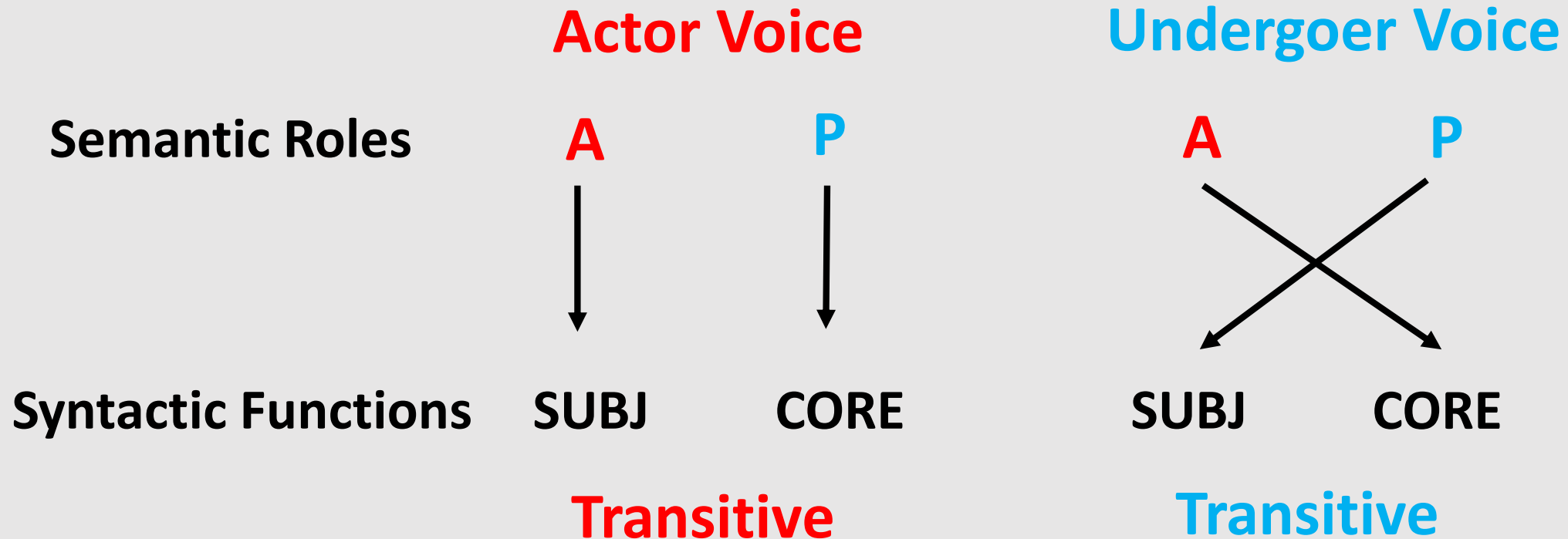
UV = *-in-*

(1b) Undergoer Voice

Tinukat	uih	<i>kelatih</i>	dih	feh
UV.PFV.dig	1SG.NOM	worms	DEM	PT

'I already dug up the worms'

Lun Bawang Voice



The **symmetrical voice** analysis is supported by morphosyntactic properties in Lun Bawang



Coding Properties

- AV actor/undergoer and UV actor/undergoer are expressed as NPs; obliques are PPs:

(2a) Actor Voice

Delai **dih** nemerey *bera*
man DEM AV.PFV.give rice

'The man gave rice to his child'

[**kuan** anak **ieh**]_{PP}
for child 3SG.NOM

(2b) Undergoer Voice

Uko' **dih** bibal *delai* *dih*
dog DEM UV.PFV.hit man DEM

'The man hit the dog with a stick'

[**makai** **kayuh**]_{PP}
use stick

Subject Properties



1 - AV actors/UV undergoers can be relativized on, other arguments cannot

(3a) **Actor Voice** **Delai dih** [luk **nemabal** *uko'* makai kayuh]
Man DEM REL AV.PFV.hit dog with stick
'This is the man who hit the dog with the stick'

Uko'* [luk **nemabal **delai dih** makai kayuh]

(3b) **Undergoer Voice** **Uko'** [luk **binabal** *delai dih* makai kayuh]
Dog REL UV.PFV.hit man DEM use stick
'It was the dog that the man hit with a stick'

Delai dih* [luk **pipag *uko'* **dih**]

Subject Properties



2 - AV actor/UV undergoer can be questioned initially, other arguments *in-situ*

(4a) Actor Voice

Irey nemelih *bera* *neh?*
who AV.PFV.buy rice DEM
'Who bought that rice?'

(4b) **Anun* nemelih **delai** **dih?**
what AV.PFV.buy man DEM
For: 'What did the man buy?'

(4c) Undergoer Voice

Anun bilih *delai* *dih?*
what UV.PFV.buy man DEM
'What did the man buy?'

(4d) **Irey* bilih **bera** **dih?**
who UV.PFV.buy rice DEM
For: 'who bought the rice?'

Subject Properties



3 - In control constructions the controlled argument is an AV actor/UV undergoer

(5a)	Actor Voice	Merey AV.give For: 'I let her eat rice'	uih 1SG.NOM	keneh 3SG.OBL	[kuman nuba'] AV.eat rice
		*Merey	uih	nuba'	[kuman ieh]
(5b)	Undergoer Voice	Merey AV.give 'I give her rice to eat'	uih 1SG.NOM	nuba' rice	[kenen ieh] [UV.IRR.eat 3SG.NOM]
		*Merey	uih	keneh	[kenen nuba']

Core Argument Properties



1 - The non-subject argument appears before obliques

(6a) Actor Voice

i=Bulan nemerey *bera* ki=Yudan
NOM=Bulan AV.PFV.give rice OBL=Yudan
'Bulan gave rice to Yudan'

(6b) **#i=Bulan** nemerey ki=Yudan *bera*

(6c) Undergoer Voice

Bera **dih** birey **i=Bulan** ki=Yudan
Rice DEM UV.give NOM=Bulan OBL=Yudan
'Bulan gave rice to Yudan.'

Summary



- Consequently, I will assume the following mappings from arguments to functions:

	actor	undergoer
AV	subject	<i>non-subject core</i>
UV	<i>non-subject core</i>	subject

This is important because it means the UV undergoer is the subject!



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Case-Marking in Lun Bawang



Austronesian Case Marking

- In the more conservative WAn languages, case-marking is used to indicate the **function** of an argument within the voice system.
- Typically, three case distinctions are assumed for **pronouns** (cf. Kroeger 1993)

- **NOM** – subjects
- **GEN** – non-subject actors
- **OBL** – obliques and non-subject undergoers

Lundayeh (Kemaloh)



(7a) Actor Voice

iko nguit **neneh** amé **nekuh.**
2SG.NOM AV.bring 3SG.OBLgo 1SG.OBL

'You bring him to me.'

	actor	undergoer
AV	NOM	OBL
UV	GEN	NOM

(7b) Undergoer Voice

Inapung **kuh** **ieh** rat **neneh.**
UV.PFV.hide 1SG.GEN 3SG.NOM from 3SG.OBL

'I hid it from him.' (Clayre 2005: 25)

Kelabit (Bario)



(9a) Actor Voice

Uih

1SG.NOM

'I see him.'

ni'er

AV.see

ieh

3SG.NOM

	actor	undergoer
AV	NOM	NOM
UV	GEN/NOM	NOM

(9b) Undergoer Voice

Seni'er

UV.see

'I saw him'

kuh

1SG.GEN

t=ieh

3SG.NOM

differential actor marking

(9c) Seni'er

UV.see

'I saw him'

uih

1SG.NOM

t=ieh

PT=3SG.NOM



Lun Bawang (Ba' Kelalan)

(10a) Actor Voice

Uih nemepag **keneh**
 1SG.NOM AV.hit 3SG.OBL
 'I hit him'

(10b) **leh** nemerey **bera** **keneh**
 3SG.NOM AV.PFV.give rice 3SG.OBL
 'He gave rice to him'

(10c) Undergoer Voice
 Pipag **neh** **ieh**
 UV.PFV.slap 3SG.GEN 3SG.NOM
 'He hit him'

	actor	undergoer
AV	NOM	OBL
UV	GEN	NOM

but...

Lun Bawang (Ba' Kelalan)



(11) Undergoer Voice

Kinan

uih

bua'

nih

UV.PFV.eat

1SG.NOM

fruit

DEM

'I've eaten the fruit'

	actor	undergoer
AV	NOM	OBL
UV	GEN/NOM	NOM

Lun Bawang (Ba' Kelalan)



(12a) Actor Voice

Uih

nemepag

keneh

1SG.NOM

AV.hit

3SG.OBL

'I hit him'

	actor	undergoer
AV	NOM	OBL/NOM
UV	GEN/NOM	NOM

(12b)

Uih

nemepag

ieh

1SG.NOM

AV.hit

3SG.NOM

'I hit him (it?)'

differential object marking



Lun Bawang (Ba' Kelalan)

(12c) Undergoer Voice

Pipag *neh* *ieh*
 UV.PFV.slap 3SG.GEN 3SG.NOM
 'He hit him'

(12d) Pipag *neh* *keneh*
 UV.PFV.hit 3SG.GEN 3SG.OBL
 'He hit him'

(12e) Pipag *ieh* *keneh*
 UV.PFV.hit 3SG.NOM 3SG.OBL
 'He hit him'

	actor	undergoer
AV	NOM	OBL/NOM
UV	NOM	OBL/NOM



Lun Bawang (Ba' Kelalan)

(14a) Actor Voice

* Keneh	nemepag	<i>anak</i>	<i>ineh</i>
OBL.3SG	AV.PFV.slap	child	DEM

For: 'He [the man] slapped the child.'

case choice is not just random...

OBL can never be used for actors

(14b) Undergoer Voice

*Pipag	<i>keneh</i>	<i>anak</i>	<i>dih</i>
UV.PFV.slap	OBL.3SG	child	DEM

For: 'He [the man] slapped the child.'

(14c) * <i>anak</i>	<i>dih</i>	pipag	<i>keneh</i>
child	DEM	UV.PFV.slap	3SG.OBL

For: 'He [the man] slapped the child'

Summary

- Case-marking in Lun Bawang (Ba' Kelalan) differs from Lundayeh since case does not seem to reflect **grammatical function**, and Kelabit since we find **DOM** too:

	AV actor (Subject)	AV undergoer (non-subject core)	UV undergoer (subject)	UV actor (non-subject core)
Lundayeh	NOM	OBL	NOM	GEN
Lun Bawang	NOM	OBL/NOM	OBL/NOM	GEN/NOM
Kelabit	NOM	NOM	NOM	GEN/NOM

- We can therefore ask what motivates the choice of pronoun form?



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Differential Marking

Differential Marking



- **Differential marking** = non-uniform marking of arguments
- It is known to be affected by both **semantic factors** and **information structure**:
 - Animacy, Referentiality and Definiteness
 - Properties of event semantics, e.g. volitionality, control, affectedness
 - Topicality/Focus

(Aissen 2003, Bossong 1985, de Swart 2007, Naess 2004, Iemmolo 2010, Dalrymple & Nikolaeva 2011, Witzlack-Makarevich and Seržant 2018)

DOM and Topicality



- Often objects receive overt marking when they are **topical**:

Tundra Nenets (Dalrymple & Nikolaeva 2011: 103)

(12a) xasawa **ti-m** xada^o
man **reindeer-ACC** kill.3SG.SUBJ
'A/the man killed a/the reindeer'

(12b) xasawa **ti-m** xada^oda
man **reindeer-ACC** kill.**OBJ**.3SG.SUBJ
'A/the man killed a/the reindeer'

Non-topical Object

What happened?

What did the man do?

What did the man kill?

Topical Object

*What did the man do to
the reindeer?*

Summary



- Differential object marking (DOM) is often linked to topicality
- DAT (or OBL) is a common source of ACC (topic?) marking in languages with DOM

Does information structure play a role in the choice of **OBL** vs **NOM**?
What pattern since **UV undergoer** is an **undergoer** *but also* a **subject**?



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Differential Marking in Lun Bawang

Differential Marking in Lun Bawang



- I currently have access to c. five hours of elicitation and six recorded stories/ personal histories of between 1-10 minutes long.
- Hence, a very small corpus with only a few instances of case-marked pronouns (and next to no instances of naturally occurring/spontaneous UV constructions).
- Nonetheless, there are some indications that the use of OBL may be linked to **topicality**



OBL as topical undergoer marker

- The use of OBL vs NOM in AV in the recorded texts appears to correlate with animacy (which is often linked to topic-worthiness)

(18b) idih **Bungkaak** nenaat *ki=Tuwau* feh
 and crow AV.PFV.decorate OBL=argus.pheasant PT

naru' *keneh* roo'-roo' taga
 AV.make 3SG.OBL good-REDUP pretty

'and so Crow decorated Argus Pheasant to make him beautiful'

The **OBL undergoer**
 is animate and
 topical

NOM as non-topical marker



(18b) Mo, naru' **kiteh**
Yes, AV.do 1DU.INCL
'Yes, let's do it, he said'

ieh
3SG.NOM

keneh
he.said

The **NOM undergoer** is
inanimate and non-
specific



OBL as topical undergoer marker

- Similarly, the following was offered as an example sentence using *bifet* (UV.PFV.hit)

(19b) Undergoer Voice (OBL undergoer)

Bifet	<i>uih</i>	keneh	ngaceku	<i>ieh</i>	pelaba lalid
UV.PFV.hit	1SG	OBL.3SG	because	3SG	very naughty

'I hit him because he was very naughty'

The **OBL undergoer**
has topic continuity



OBL as topical undergoer marker

- Moreover, OBL pronouns cannot appear in initial position:

(20a) Undergoer Voice

Anak **dih** pipag *ieh*
 child DEM UV.PFV.slapped 3SG.NOM
 'He slapped the child.'

NP = ✓

(20b) ***Keneh** pipag *ieh*
 3SG.OBL UV.PFV.slapped 3SG.NOM
 For: 'He was slapped by him'

OBL = ✗

The **OBL undergoer**
 is not compatible
 with a focus reading



OBL as topical undergoer marker

- Similarly, it is possible to cleft the NOM pronoun, but not the OBL pronoun:

(21a) **leh** luk pipag *i=Yudan* **NOM = ✓**
 3SG.NOM REL UV.PFV.slap NOM=Yudan
 ‘He was the one Yudan slapped’

(21b) ***keneh** luk pipag *i=Yudan* **OBL = ✗**
 3SG.OBL REL UV.PFV.slap NOM=Yudan
 For: ‘He was the one Yudan slapped’

The **OBL**
undergoer is
 not compatible
 with a focus
 reading



OBL as topical undergoer marker

- Hence, OBL undergoers are restricted to clause final position, which is associated with givenness

(22a) *nemelih *bera* *irey?*
Av.pfv.buy rice who
For: 'who bought rice?'

(22b) *Bilih *delai* *dih* *anun?*
UV.PFV.buy man DEM what
For: 'what did the man buy?'

It is ungrammatical for a *wh*-word to appear clause-finally which suggests that **given** information occurs there...

Hence, the **OBL undergoer** is given!

Summary



- There is some evidence from the corpus to suggest that **OBL** forms are used for **topics**, whilst **NOM** forms are used for **non-topics** (at least in AV)
- This analysis is further supported by the fact that NOM undergoers can be **clefted**, whilst **OBL forms** cannot and are restricted to **post-object position** (which is associated with givenness)
- Consequently, it seems worth exploring the hypothesis that differential marking in Lun Bawang (Ba' Kelalan) is triggered by **information structure**, and that this applies to **undergoers** irrespective of their grammatical function.

Possible development?



OBL forms strictly mark AV undergoer pronouns (OBL case is linked to definiteness)

Case-marking not necessary to mark grammatical function



No definiteness requirement for UV undergoers

OBL/NOM is used differentially to mark topicality in AV undergoer objects



OBL/NOM is used differentially to mark topical undergoers in UV as well



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Conclusion

Conclusion



- In this paper, I presented the **case marking** system in the dialect of Lun Bawang spoken in Ba' Kelalan.
- Unlike other dialects, **undergoers** can be expressed using either **NOM** or **OBL** case regardless of whether they function as *objects* (in AV) or **subjects** (in UV)
- Although there is **limited data**, it seems likely/possible given some of the tendencies observed (and cross-linguistic comparison) that **topicality** may play a role in the use of **OBL undergoers**.

Conclusion



- This suggests that **case-marking** in Lun Bawang (and perhaps WAn more generally) does not relate to **grammatical function**, but rather to semantic or discourse properties.
- In other words, **oblique coding** does not necessarily correlate with **oblique function** (contrary to ergative analyses of AV)
- This supports the idea that grammatical functions should be identified on the basis of syntactic rather than encoding properties (Dalrymple 2001, Dalrymple and Nikolaeva 2011).

Conclusion



- Moreover, it suggests that patterns of **differential marking** may correlate with semantic role rather than grammatical function.
- Hence, a deeper understanding of **case-marking choices** in Lun Bawang could have important implications for Western Austronesian, the typology of differential marking and the study of grammatical functions.
- It is hoped this paper will provide the **foundation** for future, more systematic study of the motivations for case choices and provide further insight into the relationship between **morphological encoding**, **grammatical function** and **information structure**.



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Many Thanks!